



UNIVERSITEIT
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STELLENBOSCH
UNIVERSITY

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RESEARCH-RELATED INFORMATION PACKAGE

FACULTY OF LAW

2020

ANO|DRD

AFDELING / DIVISION
NAVORSINGSONTWIKKELING
RESEARCH DEVELOPMENT

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MESSAGE FROM THE

SENIOR DIRECTOR: RESEARCH & INNOVATION



Dr Therina Theron

Research and Innovation management has grown rapidly over the past decade. The need for it at South African Institutions has become apparent with the changing landscape of higher education – competition amongst institutions for scarce resources; the many funding opportunities; rules and regulations of complex funders; the importance of effectively measuring research successes; the need to align research focus areas with strategic institutional and national goals; the need to highlight the activities and

expertise of researchers within the institution; the importance of accurate and current research-related information; the drive towards more collaboration, internationalisation, partnerships with national and international stakeholders, and overall – the need to support, facilitate and encourage the advancement of research at institutional level.

The Division for Research Development (DRD) is tasked to streamline processes and to provide effective development and support opportunities to SU researchers. We see our task as a critical success factor in the advancement of SU as a research-intensive higher education institution. We strive to promote SU as the top research university on the African continent, by offering an excellent, effective, professional and value-adding service to our research community.

We regard the Departmental Chairs as very important partners in our efforts to support and further strengthen the research endeavours of SU.

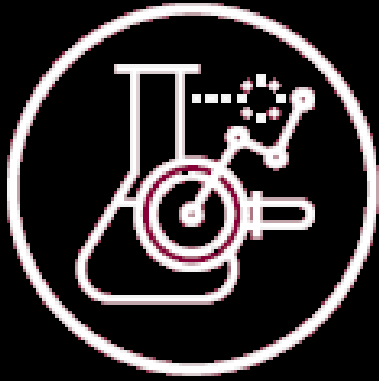
We would like to further extend our service to you by providing you with the DRD research-related Information Package. This document will provide you with a snapshot of research activities within your own department. It includes, too name but a few, statistics on research output, information on funding obtained, NRF ratings, ethics applications, but it also provides you with some general information on our functions and services.

This is the seventh year that we compile such comprehensive information set per department, so your feedback and suggestions for additional or alternative information will be appreciated.

We hope that this report will add value and that it will be of assistance to you in your task to lead your department to greater research success!

Best regards,

Therina Theron



RESEARCH FOR IMPACT

RESEARCH FOR IMPACT IS ONE OF THE SIX MAJOR INSTITUTIONAL STRATEGIC THEMES AS PART OF SU'S STRATEGIC FRAMEWORK 2019–2024. RESEARCH FOR IMPACT AT SU IMPLIES OPTIMISING THE SCIENTIFIC, ECONOMIC, SOCIAL, SCHOLARLY AND CULTURAL IMPACT OF OUR RESEARCH. OUR FOCUS IS ON INTERDISCIPLINARY RESEARCH THAT BENEFITS SOCIETY ON A NATIONAL, CONTINENTAL AND GLOBAL SCALE.

One of the critical objectives to attain the aim of promoting research for impact at SU is the establishment of interdisciplinary research focus areas. SU refocused its thinking and strategic planning on ways to hone the strengths of the University to becoming a leading 21st-century institution, by simultaneously addressing some of the pressing needs of our country, as well as delivering on the challenges brought by, inter alia, the expanding knowledge economy and the effects of globalisation.

With due cognisance of the challenges of relevance and impact, and in the light of the developmental needs of our region, SU has identified five strategic research areas (SRAs) that will drive its institutional research agenda:

- The Natural Environment
- Health and Human Security
- Social Justice and Development
- Human Creativity and Social Innovation
- Systems and Technologies for the Future



In cities, large high-rises exist alongside individual and smaller buildings, as well as roads and public spaces. The diverse building blocks of a city contribute greatly to its success and adaptability; the cityscape is static, yet constantly changing. Our university is much the same: High-rises are the collaborative, trans-disciplinary, cross-faculty research initiatives – the centres, institutes, bureaus, units and other research-related entities that have and will contribute to SU's visibility, uniqueness, and competitive advantage. They are the areas in which SU has unique expertise, critical mass and cross-disciplinary collaborations, bringing together large groupings of researchers and nonacademic stakeholders to undertake cutting-edge research with the infinite potential to create new knowledge that will lead to new products, processes and policies.

Individual buildings are equally important building blocks of a city and at SU represent the strong individual efforts that contribute to internationally acknowledged research outputs.

Buildings of many different shapes and sizes exist among the highest high-rises and the lowest individual buildings. Public squares and play parks can be seen to represent the physical and/or virtual opportunities created to bring together those individual and smaller/medium-sized research groups that may wish to collaborate in the development of new high-rises over time, while the roads and transport nodes that connect the buildings are essential to facilitate communication and mobility.

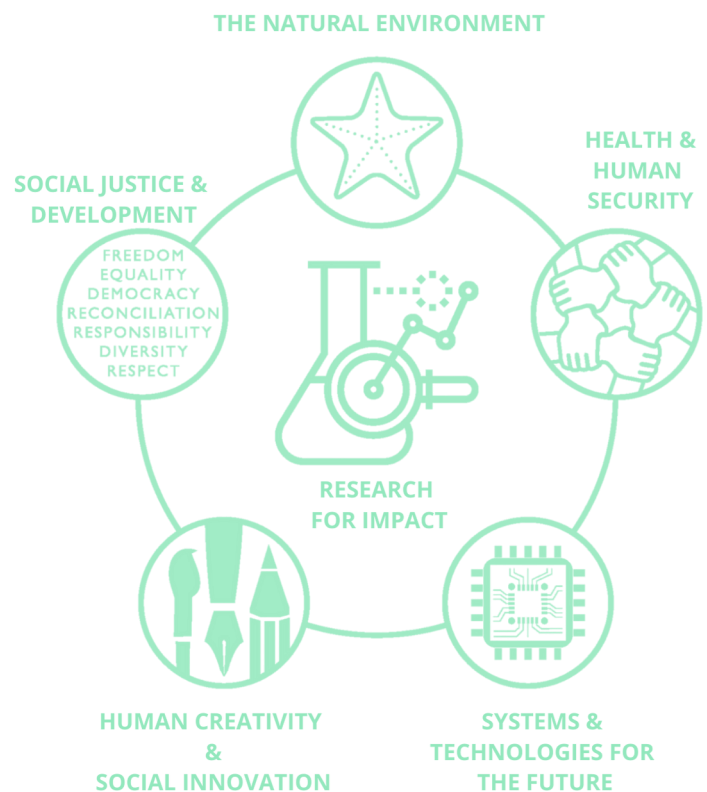
SU also acknowledges its competitive strength in the basic and fundamental disciplines. As much as a fruit tree in which the blossoms and fruit are carried by strong and stable branches, so will our fundamental disciplines and basic research continue to be the backbone of future transdisciplinary research efforts. These fundamental and basic sciences play a critical role in addressing (perhaps yet unknown) future societal challenges.

The five SRAs represent inclusive overarching areas of research expertise, for the strengthening and development of specific inter- and trans-disciplinary research focus areas. SU has a significant number of broad interdisciplinary research programmes, with expertise and capacity that have developed over a number of decades. The five chosen SRAs take due cognisance of SU's existing capacities and particular geographical advantages, and serve as overarching "umbrella" themes.

The five SRAs thus cover a set of key societal challenges that SU is currently addressing through research, and that the institution regard as research priorities into the future. SU has over the past decades developed particular competitive strengths in the basic and fundamental disciplines and research.

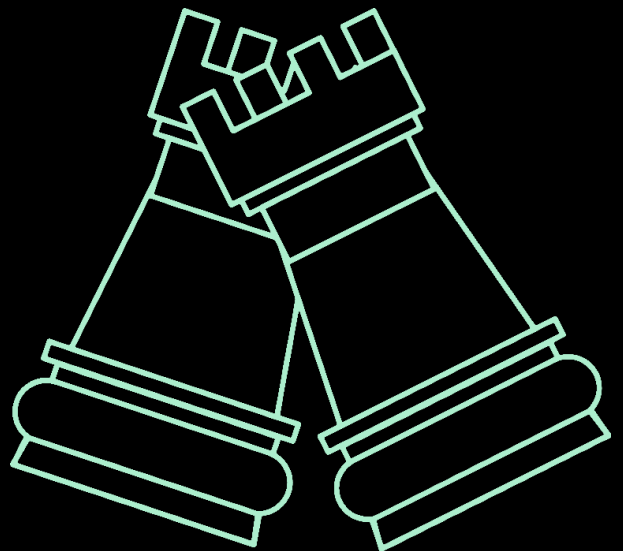
Continued emphasis on (and support for) the fundamental disciplines and basic research at the University is part of SU's strategic research plan. The continuous focus on fundamental and disciplinary research acknowledge their critical role in addressing some – perhaps as yet unknown – future societal challenges.

SU values the diversity of its research expertise and unique strengths. We already have a number of existing collaborative research initiatives that are well developed and that have contributed greatly to SU's international visibility, uniqueness, and competitive advantage. In these areas, SU has unique expertise and critical mass to undertake cutting-edge research and train significant numbers of post-graduate students. A significant number of these areas are associated with, amongst others, our current Research Chairs and Centres of Excellence.



**RESEARCH INFORMATION
& STRATEGY**

**NAVORSINGSINLIGTING
EN-STRATEGIE**



THE CLUSTER RESPONSIBLE FOR RESEARCH INFORMATION AND STRATEGY DEALS WITH THE MANAGEMENT OF RESEARCH-RELATED INFORMATION, SUCH AS THE REPORTING OF RESEARCH OUTPUTS TO THE DEPARTMENT OF HIGHER EDUCATION AND TRAINING.

Further responsibilities include regular conducts of SU research landscape analyses as required by internal or external environments and all research related reports and brochures for marketing SU research. It also manages research partnerships with Science Councils and other partners at national level, as well as across disciplinary boundaries.

Other specific functions include:

- Management of the annual NRF rating process
- Servicing and management of the Central Research Committee
- Implementation of the electronic ethics review management system,
- Infonetica on SU campuses
- Nominations for awards and prizes to SU researchers
- Interacting with parliamentary portfolio committees regarding research at SU

DIE GROEP VERANTWOORDELIK VIR NAVORSINGSINLIGTING EN -STRATEGIE HANTEER DIE BESTUUR VAN NAVORSINGSVERWANTE INLIGTING, O.A. DIE RAPPORTERING VAN NAVORSINGSUITSETTE NA DIE DEPARTEMENT HOËR ONDERWYS EN OPLEIDING.

Verdere verantwoordelikhede sluit in gereelde US navorsingslandskapsanalises soos versoek deur interne of eksterne omgewings en die saamstel van navorsingsverwante verslae en brosjures vir bemarking van US navorsing. Die groep bestuur ook die navorsingsvennootskappe met Wetenskapsrade en ander verwante vennote op nasionale vlak, asook oor dissiplinêre grense heen.

Meer spesifieke funksies sluit in:

- Bestuur van die jaarlikse NNS evalueringsproses
- Dienslewering en bestuur van die Sentrale Navorsingskomitee
- Implementering van die elektroniese etiek-verklaring stelsel, Infonetica aan die US
- Nominasies vir toekennings en pryse aan US navorsers
- Skakeling met parlementêre portefeulje komitees oor navorsing aan die US

RESEARCH PUBLICATION OUTPUTS

In terms of the Policy and Procedures for the Measurement of Research Output of Public Higher Education Institutions (2003), all public Higher Education Institutions (HEIs) must annually submit their subsidy funding claims for research outputs, in the form of publications, to the Department of Higher Education and Training (DHET). The DHET allocates research subsidy based on unit calculations for approved publications. The policy aims to "encourage research productivity by rewarding quality research output at public higher education institutions".

It hopes to "enhance productivity by recognising the major types of research output produced by higher education institutions and further use appropriate proxies to determine the quality of such output". Not all categories of publications are subsidised under this policy, only journal articles in accredited journals, peer reviewed books and published conference proceedings with the purpose of disseminating original research and aimed at specialist in the field, are recognised for subsidy.

An incentive by means of the Special Support Scheme (SSS) for research ,is used to encourage researchers to publish their research activities according to the official policy of the DHET.

For more information on how to submit your research publication outputs for subsidy, please visit the DRD website: <http://bit.ly/resoutputs>

CONTACT:



DALÉNE PIETERSE

**MANAGER: RESEARCH
INFORMATION**

TEL: 021 808 3557

**E-MAIL:
MVER@SUN.AC.ZA**

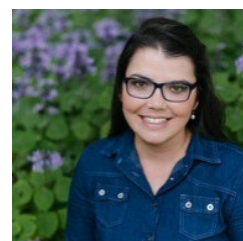


FELICIA MC DONALD

**SENIOR ADMIN OFFICER:
RESEARCH INFORMATION**

TEL: 021 808 2581

**E-MAIL:
FMCDONALD@SUN.AC.ZA**



ESMARI HUYSAMEN

**ADMIN OFFICER:
RESEARCH INFORMATION**

TEL: 021 808 4914

**E-MAIL:
ESMARIH@SUN.AC.ZA**

RESEARCH PUBLICATION OUTPUTS TOTAL PER YEAR - 2019

Department: Mercantile Law

Name	Chapters in Books	Journal Articles (subsidised)	Proceedings International	Grand Total
CALITZ, KARIN BEATRIX		2,00		2,00
DE LANGE, SILKE		1,00		1,00
JANSEN VAN RENSBURG, ELIZABETH CORNELIA		1,00		1,00
KARJIKER, SADULLA		1,00		1,00
LA CHIMIA, ANNAMARIA	1,88	0,25		2,13
LOUW, ANDRE MOUTON		1,00		1,00
MC LOUD, LISA		0,50		0,50
RUPPEL, OLIVER CHRISTIAN			0,25	0,25
SAWYER, MATTHEW DAVID		0,50		0,50
STEVENS, RICHARD ARNO		1,00		1,00
WILLIAMS-ELEGBE, SOPE OLUYOMI	1,46			1,46
Grand Total	3,33	8,25	0,25	11,83

RESEARCH PUBLICATION OUTPUTS TOTAL PER YEAR - 2019

Department: Private Law

Name	Books	Chapters in Books	Journal Articles (subsidised)	Grand Total
BOELE-WOELKI, KATHARINA	1,29		1,00	2,29
BROODRYK, THEO			3,00	3,00
DE WAAL, MARIUS JOHANNES			1,00	1,00
DU PLESSIS, JACQUES ETIENNE			2,00	2,00
HUMAN, CORNELIA SOPHIA		0,77		0,77
JOHNSON, EBREZIA PATRICIA			0,92	0,92
MILLS, LIZE		0,38		0,38
MYBURGH, FRANZISKA ELIZABETH			1,00	1,00
PIENAAR, JUANITA MAGRIETHA			1,92	1,92
VAN DER MERWE, CORNELIUS GERHARDUS			3,00	3,00
VAN DER MERWE, STEPHANUS JOHANNES HOFFMAN			2,00	2,00
WESSELS, ALBERTUS BERNARDUS			3,50	3,50
WEWEGE, JAMES			0,50	0,50
Grand Total	1,29	1,15	19,83	22,27

RESEARCH PUBLICATION OUTPUTS TOTAL PER YEAR - 2019

Department: Public Law

Name	Chapters in Books	Journal Articles (subsidised)	Grand Total
BOGGENPOEL, ZSA-ZSA TEMMERS		1,00	1,00
FOKALA, ELVIS M		1,00	1,00
KEMP, GERHARDUS PHILLIPUS		1,11	1,11
LIEBENBERG, SANDRA	0,53		0,53
QUINOT, GEO	0,83	1,15	1,99
RUDMAN, EVA ANNIKA		1,00	1,00
SLADE, BRADLEY VIRGILL		2,00	2,00
STRAUSS, MARGOT		1,00	1,00
VAN DER BERG, SHANELLE		1,00	1,00
VAN DER SIJDE, ELSABE		0,50	0,50
VAN EETVELDT, HENRI-WILLEM		1,00	1,00
Grand Total	1,36	10,77	12,13

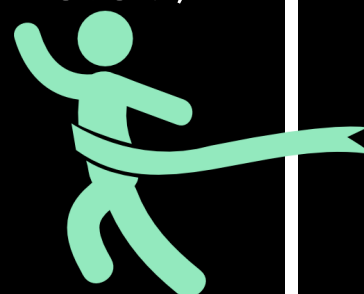
GENERAL FACTS FOR STELLENBOSCH UNIVERSITY



In its annual research output report to the Department of Higher Education and Training (DHET), SU again submitted a record number of articles in accredited journals, in respect of the 2019 publication output year

RESEARCH

In terms of research productivity SU had the **second highest** number of research outputs per capita after UKZN with 1.83 and the **third highest weighted research output** per capita at **3.39**, with UKZN at 3.62 and UP at 3.61

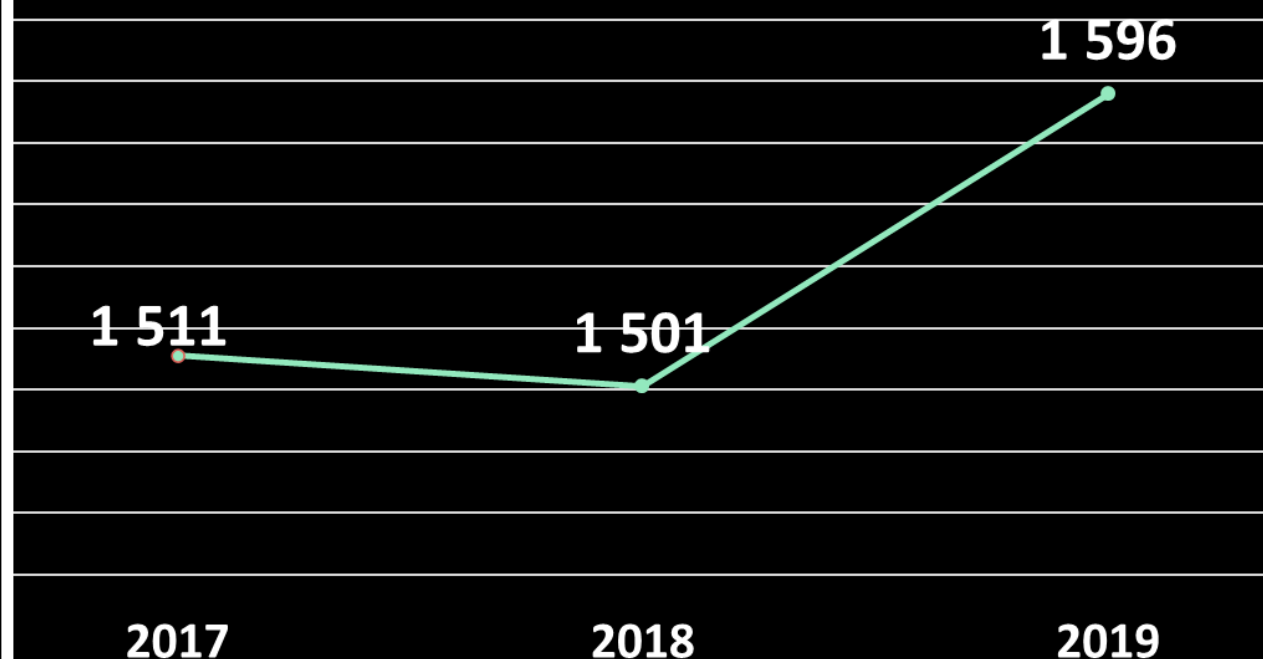


SU produced a record number of subsidy units for journal articles during the 2019 publication round namely

1596 units

OUTPUTS

ARTICLE UNITS: 2017 - 2019



SU also produced the **4th** highest number of total publication outputs for the sector (after UKZN, UJ and



UP) as well as the **2nd** highest number of units awarded for books for the sector.

SPECIAL SUPPORT SCHEME (SSS) TOTAL AWARDED PER DEPARTMENT—2019

Department: Mercantile Law

Name	Sum of Amount
CALITZ, KARIN BEATRIX	R24 092,00
DE LANGE, SILKE	R12 046,00
JANSEN VAN RENSBURG, ELIZABETH CORNELIA	R12 046,00
KARJIKER, SADULLA	R12 046,00
LA CHIMIA, ANNAMARIA	R25 597,75
LOUW, ANDRE MOUTON	R12 046,00
MC LOUD, LISA	R6 023,00
RUPPEL, OLIVER CHRISTIAN	R3 011,50
SAWYER, MATTHEW DAVID	R6 023,00
STEVENS, RICHARD ARNO	R12 046,00
WILLIAMS-ELEGBE, SOPE OLUYOMI	R17 567,08
Grand Total	R142 544,33

SPECIAL SUPPORT SCHEME (SSS) TOTAL AWARDED PER DEPARTMENT—2019

Department: Private Law

Name	Sum of Amount
BOELE-WOELKI, KATHARINA	R27 533,71
BROODRYK, THEO	R36 138,00
DE WAAL, MARIUS JOHANNES	R12 046,00
DU PLESSIS, JACQUES ETIENNE	R24 092,00
HUMAN, CORNELIA SOPHIA	R9 266,15
JOHNSON, EBREZIA PATRICIA	R11 042,17
MILLS, LIZE	R4 633,08
MYBURGH, FRANZISKA ELIZABETH	R12 046,00
PIENAAR, JUANITA MAGRIETHA	R23 088,17
VAN DER MERWE, CORNELIUS GERHARDUS	R36 138,00
VAN DER MERWE, STEPHANUS JOHANNES HOFFMAN	R24 092,00
WESSELS, ALBERTUS BERNARDUS	R42 161,00
WEWEGE, JAMES	R6 023,00
Grand Total	R268 299,28

SPECIAL SUPPORT SCHEME (SSS) TOTAL AWARDED PER DEPARTMENT—2019

Department: Public Law

Name	Sum of Amount
BOGGENPOEL, ZSA-ZSA TEMMERS	R12 046,00
FOKALA, ELVIS M	R12 046,00
KEMP, GERHARDUS PHILLIPUS	R13 384,44
LIEBENBERG, SANDRA	R6 340,00
QUINOT, GEO	R23 948,60
RUDMAN, EVA ANNIKA	R12 046,00
SLADE, BRADLEY VIRGILL	R24 092,00
STRAUSS, MARGOT	R12 046,00
VAN DER BERG, SHANELLE	R12 046,00
VAN DER SIJDE, ELSABE	R6 023,00
VAN EETVELDT, HENRI-WILLEM	R12 046,00
Grand Total	R146 064,04

NRF RATINGS

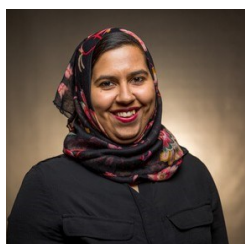
The evaluation and rating of individuals is based primarily on the quality of the research outputs in the recent past. "Recent", in the context of the NRF evaluation and rating system, means outputs of the past eight years, and which, for the current closing date, 31 January 2021 is defined as 1 January 2013 to 31 December 2020.

The evaluation is undertaken by national and international reviewers who are requested to critically scrutinize the research completed during the assessment period.

Applications are made either by "established" researchers with a solid track record (categories C, B and A), or by "younger" researchers who show potential to become established within a five-year period (Y), or becoming future leaders in their field (P).

If you would like to receive our NRF Rating information pack electronically, please send an email to aasima@sun.ac.za

CONTACT:



AASIMA GAFFOOR

**COORDINATOR:
RESEARCH
COMMUNICATION & NRF
RATING**

TEL: 021 808 9004

**E-MAIL:
AASIMA@SUN.AC.ZA**



**MARYKE HUNTER-
HÜSSELMANN**

**DIRECTOR: RESEARCH
INFORMATION &
STRATEGY**

TEL: 021 808 4623

**E-MAIL:
MH3@SUN.AC.ZA**

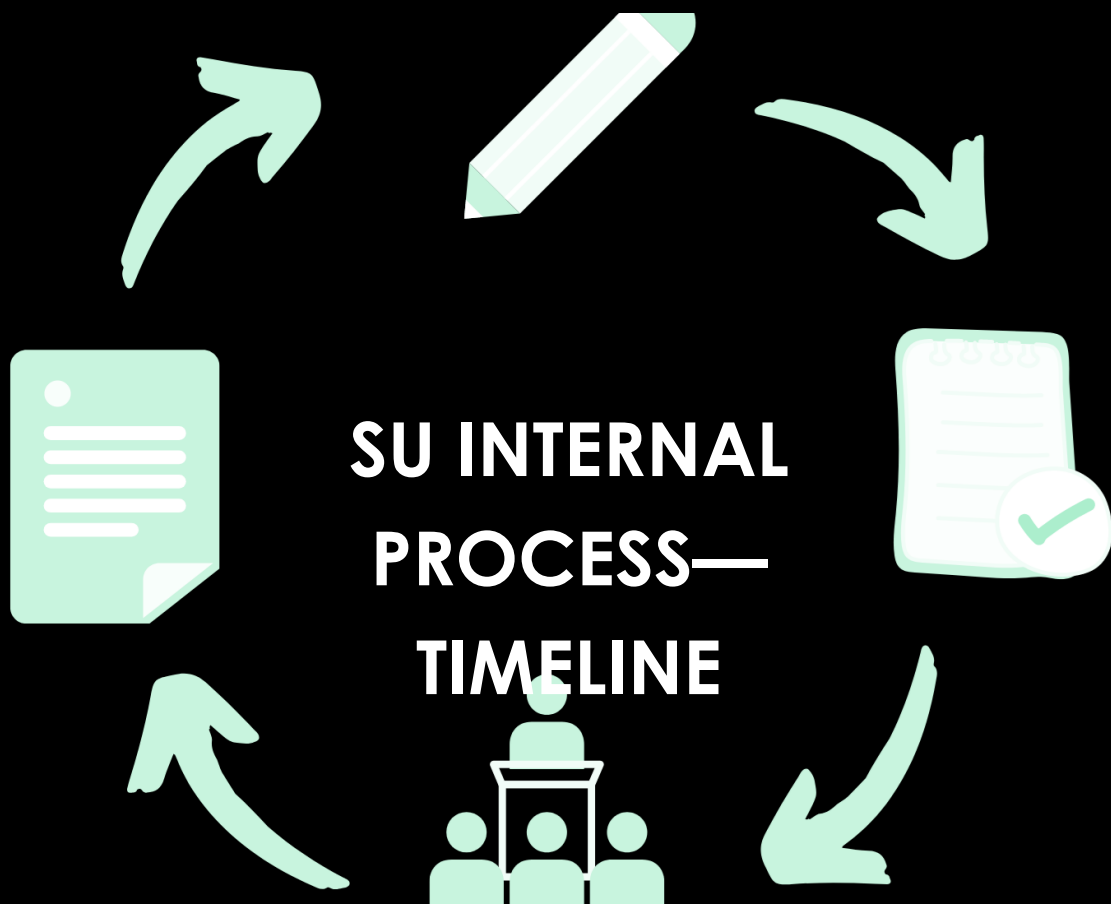
NRF RATING- SU INTERNAL PROCESS



HOW DOES THE PROCESS WORK?

The NRF has an online system for applications. The system will open in September 2019 and all applications must be submitted by 31 January 2020. Stellenbosch University has a very thorough internal evaluation process and we prefer to start with the process as early as possible in order to ensure that applicants receive the maximum benefit thereof. The

Division for Research Development is responsible for the submission of applications to the NRF and no applications will be accepted by the NRF without institutional endorsement.



SEPTEMBER

CALL FOR NRF RATINGS OPEN ON THE NRF ONLINE SUBMISSION SYSTEM

NOVEMBER

- **FIRST INTERNAL CLOSING DATE FOR ALL APPLICATIONS (NEW AND RE-EVALUATIONS)**
- APPLICATIONS ARE SENT TO THE ASSIGNED INTERNAL PANEL MEMBER FOR EVALUATION AND FEEDBACK

DECEMBER

- PANEL MEMBERS WORK THROUGH APPLICATIONS AND PREPARE FEEDBACK
- WRITTEN FEEDBACK IS SENT TO EACH APPLICANT EITHER BY THE DIVISION FOR RESEARCH DEVELOPMENT OR THE PANEL MEMBER
- THE DIVISION FOR RESEARCH DEVELOPMENT OPEN APPLICATIONS ON THE NRF ONLINE SYSTEM

JANUARY

SECOND INTERNAL CLOSING DATE FOR ALL APPLICATIONS (AT THIS STAGE FEEDBACK FROM INTERNAL PANEL MEMBERS SHOULD BE INCLUDED IN THE APPLICATION. THE APPLICATION SHOULD BE IN A MORE OR LESS A FINAL DRAFT)

THE DIVISION FOR RESEARCH DEVELOPMENT WILL EXTRACT APPLICATIONS FROM THE NRF ONLINE SYSTEM AND PREPARE IT FOR THE SUBCOMMITTEE MEETINGS

SUBCOMMITTEE MEETINGS TAKE PLACE (THE SUBCOMMITTEE PROVIDES A RATING RECOMMENDATION FOR EACH APPLICANT)

APPLICANTS WILL HAVE A FINAL OPPORTUNITY TO MAKE AMENDMENTS TO THEIR APPLICATIONS

31 JANUARY (ANNUALLY): THE FINAL CLOSING DATE FOR ALL RATING APPLICATIONS ON THE NRF ONLINE SYSTEM

TOTAL NUMBER OF RATED RESEARCHERS—2021

492

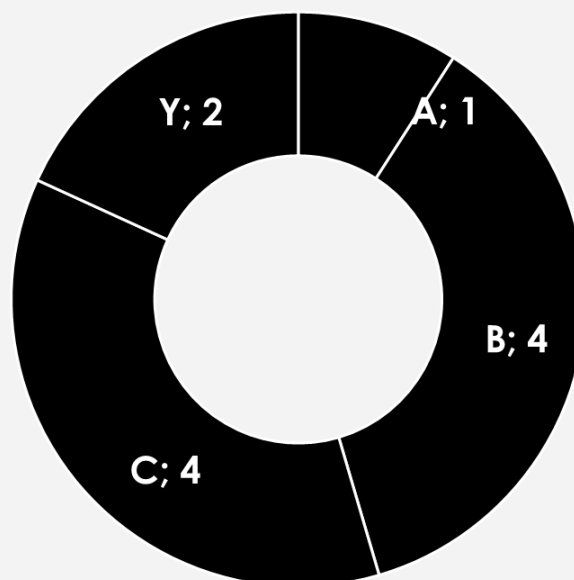
rated researchers
at SU during 2021

11

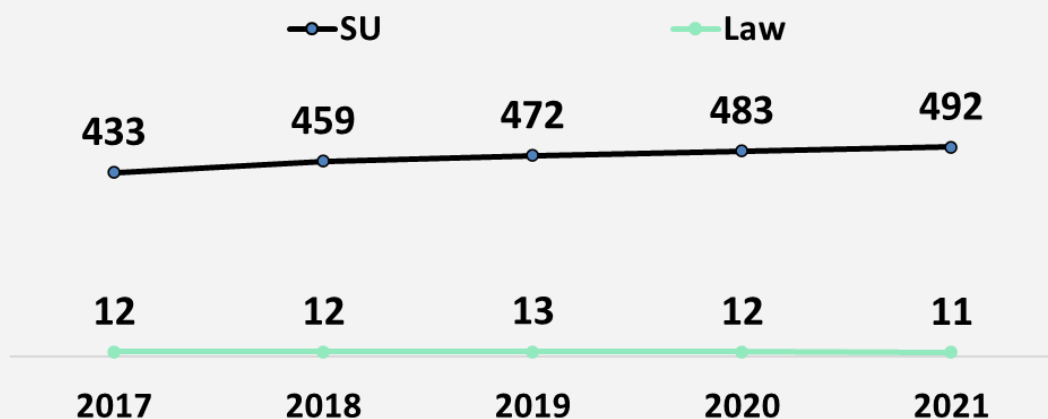
rated researchers
At the faculty of
Law

NUMBER OF RATED RESEARCHERS PER CATEGORY— 2021

LAW



RATING GROWTH: 2017—2021



RATING CATEGORIES



- Leading international researcher
- Recognised as a leading international scholar in his/her field
- High quality and impact of recent research outputs



- Internationally acclaimed researcher
- Enjoys considerable international recognition
- High quality of recent research outputs



- Established researcher
- Sustained record of productivity
- Recognised as having produced a quality body of work



- Young researchers (40 years** or younger), who have held the doctorate or equivalent qualification for less than five years
- Promising young researchers
- potential to establish themselves as researchers within a five-year period after evaluation



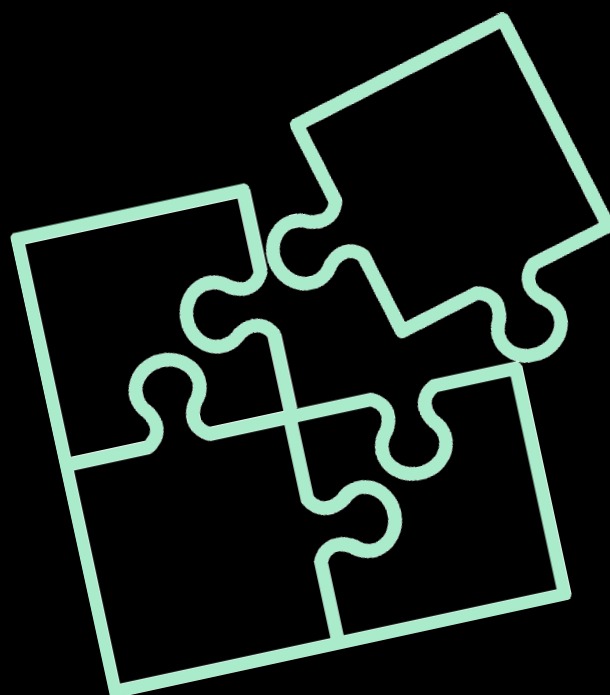
- Young researchers, younger than 35 years who holds a PhD or equivalent for less than 5 years
- Considered likely to become an international leader based on exceptional potential demonstrated in published works

BENEFITS OF AN NRF RATING

The rating is used as a national indicator of excellence and is to the advantage of your faculty and the University in terms of benchmarking. A successful rating allows you the option of applying for incentive funding from the NRF, the amount of which is directly proportional to the researcher's rating. Besides the access to NRF funds, the rating may assist in leveraging SU funds and other outside funding opportunities. In some faculties, a rating may impact on the performance appraisal of an individual.

**RESEARCH INTEGRITY &
NATIONAL GRANTS**

**NAVORSINGSINTEGRITEIT &
NASIONALE TOEKENNINGS**



THE CLUSTER FOR RESEARCH INTEGRITY AND NATIONAL GRANTS

ASSISTS RESEARCHERS IN OBTAINING AND MANAGING RESEARCH FUNDING THROUGH INTERNAL AND NATIONAL FUNDING SOURCES. THE CLUSTER IS ALSO RESPONSIBLE FOR ETHICS POLICIES AND PROCEDURES AT THE UNIVERSITY.

Specific functions include:

- Management of funding processes and strategic planning with regards to Subcommittees A and B, and the Advisory Committee on Laboratory Equipment (ALE).
- Support with regards to management processes of the Central Analytical Facility (CAF).
- Ethics policy, committees (excluding HRECs), procedures, training and compliance to relevant national and international guidelines and legislation.
- Pre- and post-award funding processes linked to national funding bodies.

DIE GROEP NAVORSINGS INTEGRITEIT EN NASIONALE BEFONDSING

STAAN NAVORSERS BY IN DIE VERKRYGING EN BESTUUR VAN NAVORSINGSFONDSE VANAF INTERNE EN NASIONALE BRONNE. DIE GROEP IS OOK VERANTWOORDELIK VIR ETIEKBELEIDE EN -PROSEDURES AAN DIE UNIVERSITEIT.

Spesifieke funksies sluit in:

- Die bestuur van befondsingsprosesse en strategiese beplanning met betrekking tot Subkomitees A en B, en die Advieskomitee insake Laboratoriumtoerusting (ALT).
- Ondersteuning aan die Sentrale Analitiese Fasiliteit (SAF) ten opsigte van bestuursprosesse.
- Etiekbeleid, -komitees (GNEKs uitgesluit), -prosedures, opleiding en die voldoening aan relevante nasionale en internasionale riglyne en wetgewing.
- Voor- en na-toekenningsprosesse gekoppel aan nasionale befondsingsliggame.

RESEARCH INTEGRITY & ETHICS

Stellenbosch University (SU) is committed to applying the values of excellence, compassion, equity, respect and accountability in all its activities (as contained in the SU Vision 2040 and Strategic Framework 2019-2024). This includes, by definition all the research conducted at the University. SU is of the view that good science assumes ethical accountability according to nationally and internationally acceptable norms. The responsibility for this lies with every person conducting research under the auspices of SU.

The University has five Ethics Review Committees, all functioning under the Senate Research Ethics Committee (SREC) namely:

- Research Ethics Committee: Research Ethics Committee: Social, Behavioural and Education Research (REC: SBER)
- Health Research Ethics Committee 1 Health Research Ethics Committee 2
- Research Ethics Committee: Animal Care and Use (REC: ACU)
- Research Ethics Committee: Biosafety and Environmental Ethics (REC: BEE)

These committees have a vital function in ensuring that all research activities at SU are conducted within national and international accepted standards and legislation with respect to ethics in research.

CONTACT:



NICOLA BARSDORF

**RESEARCH INTEGRITY
OFFICER**

TEL: 021 808 2670

E-MAIL:

NBARSDORF@SUN.AC.ZA



WINSTON BEUKES

**ANIMAL ETHICS, BIOSAFETY &
ENVIRONMENTAL ETHICS**

TEL: 021 808 9003

E-MAIL:

WABEUKES@SUN.AC.ZA



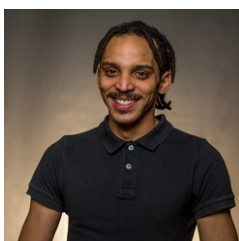
CLARISSA GRAHAM

**HUMAN ETHICS
(HUMANITIES)**

TEL: 021 808 9183

E-MAIL:

CGRAHAM@SUN.AC.ZA



ADEN WILLIAMS

**RESEARCH ETHICS
HELPDESK**

TEL: 021 808 9185

E-MAIL:

ADEN@SUN.AC.ZA

Applications for Ethical Approval

Human Research Ethics—Department of Private Law

Protocol PI Name	Protocol Full Title	Protocol Status	Protocol Approved From Date	Protocol Approved From Date
Geldenhuys,V	The Impact of Forensic DNA Profiling on a Person's Right to Gender Privacy	HUM Modifications required	-	-

Applications for Ethical Approval

Human Research Ethics—Department of Public Law

Protocol PI Name	Protocol Full Title	Protocol Status	Protocol Approved From Date	Protocol Approved From Date
Kavuro,C	The participation of foreign nationals in the 2018 School Governing Body (SGB) elections in the City of Cape Town	HUM Approved with stipulations	2020-07-23	2020-07-23

NATIONAL GRANTS

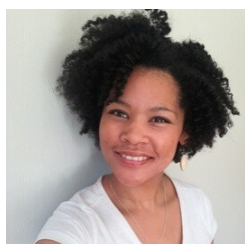
The Division for Research Development (DRD) administers the submission of funding proposals to the National Research Foundation (NRF), Medical Research Foundation (MRC), the Council for Scientific and Industrial Research (CSIR), Cancer Association of South Africa (CANSA), the Square Kilometre Array (SKA) and other smaller funders.

We provide the following support to researchers:

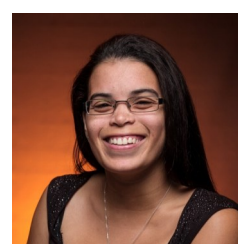
- Proposal support (screening and internal review)
- Proposal budget support assistance
- Post-awards financial management and support

NRF (VARIOUS)	CANSA RESEARCH GRANTS	MRC (SELF-INITIATED RESEARCH GRANTS)	CSIR
www.nrf.ac.za	www.cansa.org.za	www.mrc.ac.za	www.csir.co.za
Various fields and calls; refer to call specific eligibility and funding guidelines	CANSA research Grants: max 3 years @ R300,000 per year + SU co-funding	Self-initiated Grants: max 3 years @ R200,000 per year	National Laser Centre (NLC) Funding + African Laser Centre (ALC) Funding
Closing dates based on Call Schedule	Closing around May each year	Closing around June each year	Closing around September each year

CONTACT:



VENITA JANUARIE
COORDINATOR:
NATIONAL GRANTS
TEL:021 808 9017
E-MAIL:
VENITA@SUN.AC.ZA



SHANNON FARO
ADMIN OFFICER:
RESEARCH COMMITTEES &
NATIONAL GRANTS
TEL: 021 808 4480
E-MAIL:
SBROOKS@SUN.AC.ZA

NRF Awarded Grants

Department of Public Law

Grant holder	Programme	Short Title	Start date	End date	Total Award
Botha, H	Development Grant for Kfd	Safeguarding Democracy in Times of Populism	2019/01/01	2019/12/31	R120 000,00

SUBCOMMITTEE FUNDING

The Senate has approved that the Research Committee appoint three subcommittees, which are representative of the broad groupings within the sciences, known as A (for the arts and humanities), B (for basic and applied natural science and engineering) and C (for the health sciences), to advise it on its wider functions. The committees are selected biannually out of candidates nominated by the relevant faculties.

The committee has three main functions namely:

Advise the Research Committee with regard to research policy
Internal screening of NRF rating and Thuthuka applications
Allocation of research funds

More information about the funding opportunities available from Subcommittees A and B are available on the DRD website at: <http://bit.ly/subcomms>

For more information about the funding opportunities available from Subcommittee C visit: www.sun.ac.za/rds

CONTACT:



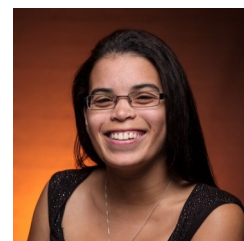
MALENE FOUCHE

**DIRECTOR: RESEARCH
INTEGRITY & NATIONAL
GRANTS**

TEL: 021 808 4622

E-MAIL:

MFOUCHE@SUN.AC.ZA



SHANNON FARO

**ADMIN OFFICER:
RESEARCH COMMITTEES &
NATIONAL GRANTS**

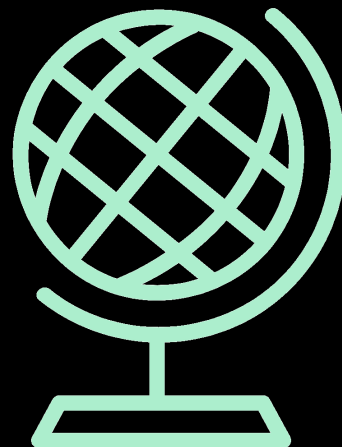
TEL: 021 808 4480

E-MAIL:

SBROOKS@SUN.AC.ZA

**INTERNATIONAL &
EARLY CAREER RESEARCH GRANTS**

**INTERNASIONALE &
VROEË-LOOPBAAN
NAVORSINGSTOEKENINGS**



THE CLUSTER FOR INTERNATIONAL & EARLY CAREER RESEARCH

GRANTS ASSISTS RESEARCHERS IN IDENTIFYING, OBTAINING AND MANAGING RESEARCH FUNDING TO STRENGTHEN AND EXPAND INTERNATIONAL COLLABORATION AND TO BUILD CAPACITY OF EARLY CAREER RESEARCHERS.

THE INTERNATIONAL & EARLY CAREER RESEARCH GRANTS CLUSTER IS RESPONSIBLE FOR THE MANAGEMENT OF THE FOLLOWING:

Grant programmes:

- NRF grants for international bi- and multilateral collaboration with about 35 countries
- EU Horizon 2020 research and innovation grants - consortia driven, multi- lateral international collaboration opportunities for senior researchers
- Research grants from other international funders (eg. Andrew Mellon Foundation, Bill & Melinda Gates Foundation, Wellcome Trust, UK government, USAID, etc.)
- International research travel grants
- Study leave (sabbatical) grants for academic staff
- NRF grants for the organisation of international conferences in SA Post-doctoral fellowship programme
- Grants for early career development Post-doctoral fellowship programme
- Open4Research: a web-based database of international research funding opportunities

Support actions include:

- Identifying and distributing Calls for Application
- Assistance with grant proposal writing, through, among other grant proposal writing skills workshops
- Providing institutional support documents
- Ensuring institutional authorisation and validation of applications, where required
- Liaising with other support services, eg accountants, in ensuring adherence to pre- and post-award requirements
- Providing post-award support
- Registration, payment and general support of postdoctoral fellows

DIE GROEP INTERNASIONALE & VROEË-LOOPBAAN NAVORSINGSTOEKENNINGS ONDERSTEUN NAVORSERS MET DIE IDENTIFIKASIE, VERKRYGING EN BESTUUR VAN NAVORSINGSBEFONDSING OM INTERNASIONALE SAMEWERKING TE VERSTERK EN UIT TE BREI EN OM DIE KAPASITEIT VAN VROEË-LOOPBAAN NAVORSERS TE BOU.

DIE GROEP VIR INTERNASIONALE & VROEË-LOOPBAAN NAVORSINGSTOEKENNINGS IS VERANTWOORDELIK VIR DIE BESTUUR VAN DIE VOLGENDE:

Programme:

- NNS toekennings vir internasionale bi- en multilaterale navorsingsamewerking met ongeveer 35 lande
- EU Horizon 2020 navorsings- en innovasie toekennings – konsortia gedrewe, multi-laterale internasionale samewerkingsgeleenthede vir senior navorsers
- Navorsingstoekennings van ander internasionale befonders (bv. Andrew Mellon Foundation, Bill & Melinda Gates Foundation, Wellcome Trust, VK- regering, USAID, ens)
- Toekennings vir internasionale navorsingsreise Studieverlof (sabbatsverlof) vir akademiese personeel
- NNS toekennings vir die organisasie van internasionale konferensies in SA
- Navorsingstoekennings vir vroeë-loopbaanontwikkeling (bv Thuthuka) Program vir na-doktorale genootskappe
- Open4Research: 'n web-gebaseerde databasis van navorsingsbefondsingsgeleenthede

Steunaksies sluit in:

- Identifisering en verspreiding van oproepe vir aansoeke
- Bystand met die skryf van befondsingsvoorstelle, d.m.v. onder andere befondsingsvoorstel slypskole
- Verskaffing van institusionele steundokumente
- Versekering van institusionele goedkeuring en validasie van aansoeke, waar nodig
- Skakeling met ander steundienste, bv rekenmeesters, om nakoming van voor- en na-toekenning voorwaardes te verseker
- Verskaf van na-toekenning ondersteuning
- Registrasie, betaling en algemene ondersteuning aan nadoktorale genote

INTERNATIONAL FUNDING

International research collaboration is seen as a crucial requirement for research excellence, networking and research capacity building at Stellenbosch University. Not only do SU researchers play key roles in leading international consortia investigating global issues, but researchers actively participate in the South African government's bilateral and trilateral science and technology agreements with more than 30 partner countries and in projects funded by international donors. In addition, researchers take part in international consortia in the European Union's Horizon 2020 Research and Innovation programme. Horizon 2020 grants awarded to SU researchers include research and innovation actions, innovation actions, coordination and support actions, and research in innovation staff exchanges. These collaborations lead to a substantial increase in SU revenue, creation and strengthening of extensive international networks, and more co-authored scientific articles.

Stellenbosch University is a preferred destination for many top researchers from abroad, with leading international academics being appointed as visiting and extraordinary professors and PhD co-supervisors. Many of these foreign scientists spend extended periods at SU, and make an invaluable contribution to research and capacity building initiatives.

Likewise, SU researchers travel abroad to collaborate with international research partners, to act as external examiners of postgraduate studies, serve as experts on international advisory boards, editorial boards of accredited journals, or as review panellists for international funding agencies.

The Division for Research Development provides support for international research collaboration, with regard to identification of funds, grant proposal guidance and review, supporting documents and due diligence, and approval of applications.

CONTACT:



RIANA COETSEE
MANAGER:
INTERNATIONAL &
EARLY CAREER RESEARCH
GRANTS
TEL: 021 808 2580
E-MAIL: MJC@SUN.AC.ZA



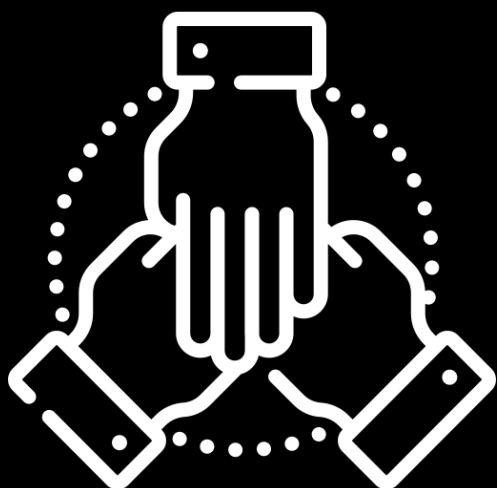
LIZEL KLEINGBIEL
COORDINATOR: EU &
OTHER INTERNATIONAL
RESEARCH FUNDING
OPPORTUNITIES
TEL: 021 808 2105
E-MAIL:
LIZELK@SUN.AC.ZA

INTERNATIONAL FUNDING— GENERAL FACTS FOR STELLENBOSCH UNIVERSITY

SU researchers were awarded

R 158,371,922.65

for international
bi—and multilateral
programmes in 2020



26

KIC (Knowledge Interchange
and Collaboration) travel
grants were awarded to the
total amount of

R 1 067 400.00

For the EU Horizon 2020 Research &
Innovation Framework Programme,

7 projects were approved in 2019

worth **R56 050 668.**



HB & MJ Thom Study Leave - 2020

Department: Public Law

Grant holder	Short Title	Start	End	Total Award
Rudman, EA	Between Sovereignty and Accountability: The Evolving Jurisprudence of the African Court on Human and Peoples’ Rights	2020/07/20	2020/12/11	R80 000,00

THUTHUKA FUNDING

The main purpose of the Thuthuka programme is to develop research capacity by promoting the attainment of a Doctoral qualification or an NRF rating by early-career academics.

Thuthuka funding could cover three to nine years, depending on the award level.

CONTACT:

PRE-AWARD:



RIANA COETSEE
MANAGER:
INTERNATIONAL &
EARLY CAREER RESEARCH
GRANTS
TEL: 021 808 2580
E-MAIL: MJC@SUN.AC.ZA



IZEL ROSSOUW
COORDINATOR:
INTERNATIONAL
POSTDOCTORAL FELLOWS
& RESEARCH GRANTS
TEL: 021 808 4985
E-MAIL: IZEL@SUN.AC.ZA

POST-AWARD:



DOMINIQUE MEYER
ADMINISTRATIVE ASSISTANT:
TRAVEL, TRAINING AND
CONFERENCES
TEL: 021 808 9566
E-MAIL:
DOMINIQUEM@SUN.AC.ZA

THUTHUKA—

GENERAL FACTS FOR STELLENBOSCH UNIVERSITY

11

SUCCESSFUL THUTHUKA

(NRF EARLY CAREER PROGRAMME)

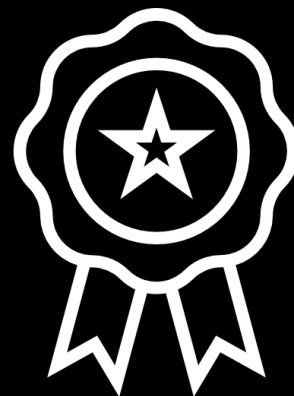
APPLICATIONS IN 2020 WITH A TOTAL OF

R831 700.

In addition, there were

15 continuation
awards amounting to

R 3 380 348.



POSTDOCTORAL FELLOWS

The Postdoctoral Fellowship programme at Stellenbosch University provides doctoral graduates with the opportunity to develop and strengthen their research and professional skills at SU and to transfer their acquired skills to researchers and students at SU. Postdoctoral Fellows significantly contribute towards SU expanding its research portfolio through new areas of research, to enhance its research productivity through the increase of scientific publications and technology transfer. The Postdoctoral programme assists with the development of research capacity and the rejuvenation and transformation of the academic researcher cohort in South Africa. Through the large cohort of international postdoctoral fellows internationalisation is strengthened at SU and international collaborative research networks expanded.

CONTACT:



NATASHA MUTHAPO
**HEAD: POSTDOCTORAL
RESEARCH SUPPORT**
TEL: 021 808 3907
E-MAIL:
MUTHAPO@SUN.AC.ZA



LEE-ANNE SEYMOUR
**COORDINATOR: NATIONAL
POSTDOCTORAL FELLOWS**
TEL: 021 808 2909
E-MAIL: LEEANNE@SUN.AC.ZA



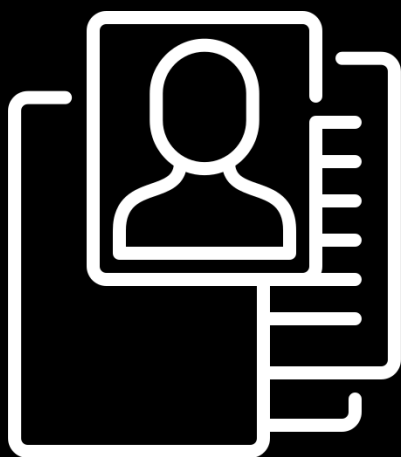
IZEL ROSSOUW
COORDINATOR:
**INTERNATIONAL
POSTDOCTORAL FELLOWS
& RESEARCH GRANTS**
TEL: 021 808 4985
E-MAIL: IZEL@SUN.AC.ZA

POSTDOCTORAL FELLOWS— GENERAL FACTS FOR STELLENBOSCH UNIVERSITY

SU's postdoctoral fellows have
come from

42

different countries in 2020



SU had

348

postdocs registered in
2020

193 postdocs from South Africa
& **155** postdocs from abroad



Postdoctoral Fellowships

Department: Mercantile Law

Name (postdoc)	Nationality	Name (Host)	Start of contract	End of contract
OAKER, W	SOUTH AFRICA	STEVENS, RA	2018/06/30	2019/06/30
VAN WYK, S	SOUTH AFRICA	WILLIAMS-ELEGBE, SO	2019/12/31	2020/12/30

Postdoctoral Fellowships

Department: Private Law

Name (postdoc)	Nationality	Name (Host)	Start of contract	End of contract
VAN DEVENTER, SM	SOUTH AFRICA	DU PLESSIS, JE	2020/06/30	2020/12/30

Postdoctoral Fellowships

Department: Public Law

Name (postdoc)	Nationality	Name (Host)	Start of contract	End of contract
CHIGOWE ,LT	ZIMBABWE	MADONSELA, T	2020/11/10	2021/11/06
FAWOLE, CE	CANADA	HUMAN, CS	2019/05/31	2020/05/31
FOKALA, EM	CAMEROON	RUDMAN, EA	2019/04/01	2020/03/31
KAVURO, C	BURUNDI	BOTHA, H	2019/12/31	2020/12/30
KIEWITZ, L	SOUTH AFRICA	BOGGENPOEL, Z	2019/12/29	2020/06/27
KOTZé, T	SOUTH AFRICA	BOTHA, H	2019/12/30	2021/12/30
TLALE, M	LESOTHO	BOGGENPOEL, ZT	2018/01/01	2019/03/01

RESEARCH CONTRACTS

NAVORSINGSKONTRAKTE



TO PERFORM CONTRACT RESEARCH AT STELLENBOSCH UNIVERSITY, A RESEARCHER NEEDS TO COMPLY WITH APPROVED POLICIES AND PROCESSES.

THE RESEARCH CONTRACTS GROUP DEALS WITH THE APPROVAL PROCESSES OF RESEARCH CONTRACTS.

SPECIFIC FUNCTIONS INCLUDE:

- Reviewing, negotiating and approving of legal terms relating to research and research-related contracts
- Providing information about contract types and examples of each type Assisting with preparation and completion of research tenders
- Financial assistance relating to research projects, as well as the drafting and approval of project budgets
- Providing information on full cost , indirect costs, VAT and other financial queries relating to research contracts

OM KONTRAKNAVORSING BY DIE UNIVERSITEIT STELLENBOSCH TE VERRIG, MOET DIE NAVORSER VOLDOEN AAN DIE GOEDGEKEURDE BELEIDE EN PROSESSE. HIERDIE GROEP HANTEER DIE GOEDKEURINGSPROSESSE TEN OP SIGTE VAN NAVORSINGSKONTRAKTE.

SPESIFIEKE FUNKSIES SLUIT IN:

- Nagaan, onderhandeling en goedkeuring van regsterme t.o.v navorsings- en navorsingsverwante kontrakte
- Bied inligting oor navorsingskontraktipes en voorbeelde van elke tipe Bystand met voorbereiding en voltooiing van navorsingstenders
- Finansiële bystand met betrekking tot navorsingsprojekte, asook die opstel en goedkeuring van projekbegrotings
- Verskaf inligting oor volkoste, indirekte koste, BTW en ander finansiële navrae rakende navorsingskontrakte

POSTGRADUATE OFFICE

NAGRAADSE KANTOOR



The Postgraduate Office (PGO) in the Division for Research Development (DRD) of Stellenbosch University (SU) is a central support and liaison office for postgraduate students. The DRD reports to the Vice-Rector: Research, Innovation and Postgraduate Studies.

The PGO provides professional support services to all postgraduate students (including international students) during the postgraduate lifecycle (from application to graduation). The PGO also guides policy and system development where these affect postgraduate students and the management of postgraduate student information.

The PGO works with academic and support service environments and develops the necessary mechanisms via the relevant decision-making bodies so that the comprehensive academic and support services provision to postgraduate students remains optimal and continues to comply with international standards.

Located on the Stellenbosch campus, the PGO coordinates its activities as far as possible with similar entities or structures on the other University campuses who provide similar services to postgraduate students

THE PGO HAS THE FOLLOWING FOCUS AREAS:

POSTGRADUATE ENROLMENT SUPPORT

PROSPECTIVE POSTGRADUATE STUDENTS

The Postgraduate Enrolment Support (PGES) section of the PGO ensures that the University's postgraduate programme offering is visible and accessible to all prospective postgraduate students. To this end, it maintains the University's Prospective Postgraduate Student website (www.sun.ac.za/pgstudies) and manages an enquiries point (postgraduate@sun.ac.za).

POSTGRADUATE INTERNATIONAL STUDENTS

The PGO recognises the importance of an international postgraduate student body and that international students need additional support to navigate the University's processes. As such, the PGES section is responsible for facilitating the application and enrolment of postgraduate international students. The PGES section screens applications from postgraduate international candidates.

This in-house credential evaluation service assists departments with information on recognition of institutions, the level of awards and the international comparability of the qualifications so that they can make informed decisions on the eligibility of applicants to their programmes. The PGES section also ensures that admitted postgraduate international candidates receive the necessary documentation and information to ensure their smooth enrolment and arrival (where applicable).

POSTGRADUATE WELCOME AND ORIENTATION

To facilitate arrival, registration and ongoing interaction between postgraduate students and the various support services at the University, the PGO publishes and disseminates a Postgraduate Welcome and Orientation booklet. This provides a concise overview of some of the most important information of which all new postgraduate students should be aware.

JOINT DEGREES AT SU

The PGO is the institutional custodian of the University's policy on joint degrees at Master's and doctoral level. SU has agreements with a limited number of partner institutions in different countries for the enrolment of joint degree candidates. Most of the agreements are for enrolment at doctoral level. The list of possible institutions with whom SU can enrol joint degree candidates changes as new agreements are concluded. Currently we have joint PhD agreements with the following institutions:

- Coventry University
- Université de Bretagne Occidentale
- Erasmus University Rotterdam (EUR)
- Université Jean Monnet Saint-Étienne
- Ghent University
- Université Rouen
- Hasselt University
- Universiteit Antwerpen
- KU Leuven
- University of Groningen
- Macquarie University
- University of Hamburg
- Radboud Universiteit
- Nijmegen University of Leipzig
- Università degli Studi di Padova
- Vrije Universiteit Amsterdam (VUA)
- Université Claude Bernard Lyon
- Vrije Universiteit Brussel (VUB)
- Université de Bordeaux

The PGO manages the institution's joint degree programmes. This includes putting institutional arrangements in place (should these not exist and in accordance with the policy) and managing the contracts of individual candidates according to the agreement governing their joint enrolment.

Enquiries: postgraduate@sun.ac.za

Website: www.sun.ac.za/pgo/enrolments

POSTGRADUATE FUNDING AND SUPPORT

Securing funding to finance postgraduate studies is one of the most important aspects postgraduate students need to consider. The Postgraduate Funding (PGF) section of the PGO assists postgraduate students by providing pre-award assistance and support. It further assists with the post-award administration of funded scholarships as required by sponsors and funders.

The PGF section advertises ad hoc funding opportunities on the University's website as these become available. Adverts are circulated to faculties (normally via Heads of Departments) and are also posted on the University's website, on noticeboards on campus and in the PGO Postgraduate Times monthly newsletter. Beyond these, the PGF advertises and administers two main types of funding for postgraduate students:

- Bursaries and scholarships that cover the cost of registration/tuition and in some cases accommodation and books;
- Travel grants for contributing to the costs for attending and presenting your findings at conferences.

Prospective students are encouraged to sign-up on the Open4Research platform – (<https://www.open4research.eu/yebo>) for more funding opportunities from around the world. This innovative tool allows postgraduate students to browse, search and download filtered funding opportunities according to your unique profile.

BURSARIES BASED ON ACADEMIC PERFORMANCE

SU acts as designated authority (DA) for the National Research Foundation (NRF)'s freestanding scholarships and its grant holder-linked bursaries. NRF bursaries are available for full-time studies in all fields. Funding opportunities are advertised online at www.nrf.ac.za but applicants are reminded to adhere to the relevant internal closing date(s). Note that NRF Applications open from April-June of the previous year.

The grant holder-linked bursaries are made available to academic staff who hold NRF research grants and who may then nominate suitable candidates, some of whom they are supervising, to receive such bursaries. Students do not apply directly for this funding opportunity and queries should be referred to the relevant department. The Research Integrity and National Grants cluster within the Division for Research Development administers nominations while the PGF unit is responsible for bursary payments.

Postgraduate students can also apply for a limited number of Private Bursaries. These bursaries are limited to certain fields of study and offer varying amounts to a few selected students only. When allocating these private bursaries, preference is given to applicants at the most advanced level of study (degree programme) and with the highest marks, unless stated otherwise.

Some departments, especially those in the fields of science and technology, offer financial assistance from departmental funds to selected students in the form of Departmental Bursaries. If a postgraduate student is not currently receiving any financial assistance, it is something that the student may enquire about with their academic department (typically via the supervisor). Should such funding be available, it can only be awarded to a candidate upon the formal recommendation of a department.

A limited number of Prestige Bursaries are available to candidates whose academic performance in their previous studies has been outstanding. These are advertised from time-to-time.

BURSARIES BASED ON FINANCIAL NEED

The PGFS section administers Postgraduate Support Bursaries for extremely financially needy students with academic potential. Please note, financial need is determined by a means test assessment based on among other things, family income. Support bursaries are seldom sufficient to cover all study costs and students must therefore seek additional sources of funding.

Enquiries: postgradfunding@sun.ac.za

Website: www.sun.ac.za/pgo/funding

POSTGRADUATE SKILLS DEVELOPMENT SUPPORT

The Postgraduate Skills Development Support section of the PGO runs a programme that provides information about and access to opportunities and resources at SU to assist postgraduate students in their efforts to develop and enhance the skills they require to complete their postgraduate studies and research.

WORKSHOPS

A range of workshops are offered that help postgraduate students at SU hone their research and thesis writing skills – free of charge. The aim of the programme is to help students take responsibility for finishing their degrees on time by equipping them with some tools, skills and strategies to assist them in this endeavour. This is an established offering, which is offered in partnership with other support environments at the University:

- **ACADEMIC AUTHORSHIP**

This workshop provides SU students with an understanding of the guidelines for academic authorship and covers issues related to authorship practices.

- **ACADEMIC WRITING**

This bouquet of academic writing workshops, presented by the Writing Lab for Postgraduate Skills, aims to provide an introduction to the writing skills that postgraduate students require. The three workshops offered in the bouquet are: Academic Writing for Theses and Dissertations, Introduction to Academic Writing and Writing a Literature Review.

- **ARTICLE WRITING FOR PUBLICATION**

The aim of this workshop is threefold: to introduce SU Master's and doctoral participants to this genre of writing; to prepare participants for writing for publication; and to guide them in writing an article. By the end of a 4.5 day writing 'retreat', participants aim to have completed a journal article that is ready for submission to their target journal.

- **AVOIDING PLAGIARISM**

This workshop covers the principles of good academic writing, which when followed makes plagiarism highly unlikely. Postgraduate students learn how to avoid plagiarism and how to explain their work in relation to that of other scholars.

- **ATLAS.TI**

This workshop is for SU Master's and Doctoral students who want to learn how ATLAS.ti can be used to support them during their literature review stage and for those who plan to work with qualitative data analysis, like interview transcripts, field notes, images, etc. ATLAS.ti is a computer software that supports the process of analysing such data.

- **CREATING YOUR THESIS OR DISSERTATION - PARTS 1, 2 & 3**

This is a generic 3 day workshop aimed at postgraduate students from different SU departments and faculties. It covers the full research process and each day builds on what was covered the previous day (Part 1: The research proposal; Part 2: Research design and methodology; and Part 3: Thesis writing).

- **GRANT PROPOSAL WRITING FOR POSTGRADUATES**

Researchers are increasingly being expected to generate research funds. This workshop presented in collaboration with our Division for Research Development, is for SU postgraduate students who would like to learn about grant proposal writing, how to structure grant proposals well and other important considerations when applying for research funding.

- **MS WORD FOR THESIS FORMATTING**

This workshop is suitable for SU postgraduate students wishing to learn how to format a large document such as a thesis or dissertation in Microsoft Word aligned with Stellenbosch University thesis formatting requirements.

- **MS EXCEL FOR RESEARCH DATA MANAGEMENT**

This workshop is suitable for SU postgraduate students wishing to learn how to use Microsoft Office Excel to manage their research data by developing spreadsheets into charts, making use of templates, graphics and formulas, etc.

- **POWERPOINT FOR CONFERENCE PRESENTATIONS**

This workshop provides invaluable guidance on making a good, professional PowerPoint presentation (for proposal and oral defences and conference presentations, for example).

In addition to its generic programme, the PGO plans interventions in collaboration with Faculties in support of postgraduate capacity development DHET funded University Capacity Development Plan (UCDP).

ONLINE PLATFORMS

The Postgraduate Skills Development Programme's website provides information about their range of workshops, essential resources and opportunities for postgraduate students. They also house a number of resources on the Postgraduate Skills Development SUNLearn platform. The Postgraduate Research Degree Toolkit, for example, is an interactive resource that includes guides and tips from the beginning stages of considering a research degree, all the way through to writing up.

POSTGRADUATE COMMUNITY EVENTS

The Postgraduate Skills Development Programme team hosts informal Pop Up cafés for postgraduate students to get together and socialise in the RW Wilcocks building quad. The Programme also hosts weekly Shut Up & Write lunch hour sessions for students and staff who are looking for a quiet communal space to spend time writing.

POSTGRADUATE TIMES NEWSLETTER

In order to inform postgraduate students of important events and opportunities that take place throughout the year, the Postgraduate Office sends out a monthly Postgraduate Times newsletter that is co-ordinated by the Postgraduate Skills Development Programme team. The newsletter serves to highlight various support from the PGO such as Funding, Enrolments, Skills Development, Joint Degrees as well as other support services that are available to assist postgraduate students along their research journey.

Enquiries: pgskills@sun.ac.za

Website: www.sun.ac.za/pgo/pgskills

TYGERBERG CAMPUS OFFERING

The Research Capacity Development and Funding Office (RCFO) at Tygerberg Campus provides research capacity development workshops, short courses and short information sessions to Faculty of Medicine and Health Sciences staff, clinicians, postdoctoral fellows and postgraduate students. Their annual programme is focussed on health research.

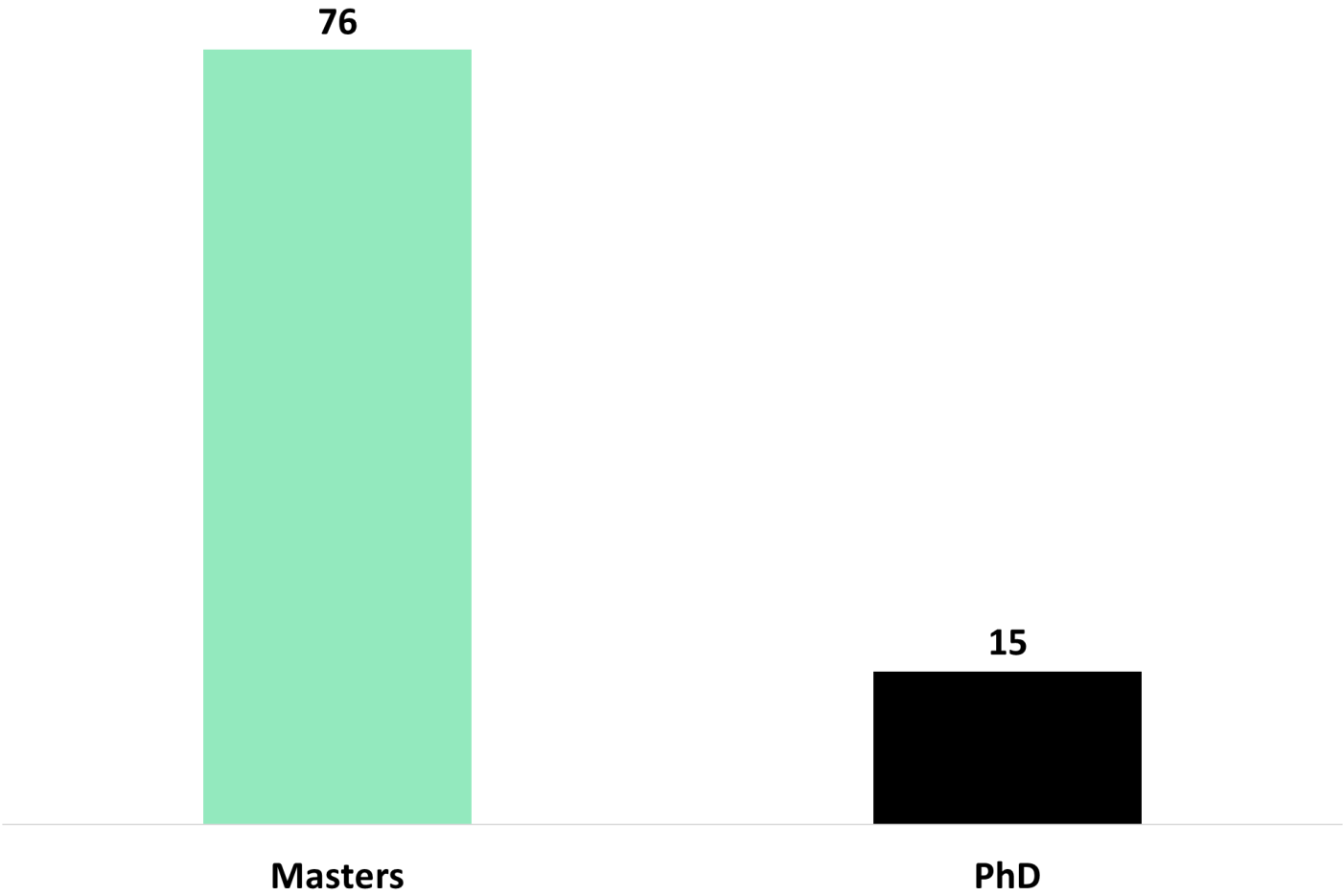
The RCFO's research capacity development offering and contact details can be found here:

<http://www.sun.ac.za/english/faculty/healthsciences/rdsd/Pages/home>

**MASTERS &
DOCTORAL DEGREES
AWARDED
2020**



FACULTY OF LAW



MEET THE DRD TEAM

****As at June 2021**

OFFICE OF THE SENIOR DIRECTOR:



THERINA THERON

SENIOR DIRECTOR: RESEARCH & INNOVATION

TEL: 021 808 4624

E-MAIL: THERON@SUN.AC.ZA



FADWHA PATEL

**ADMINISTRATIVE OFFICER:
EARLY CAREER RESEARCHERS &
CAPACITY DEVELOPMENT**

TEL: 021 808 9325

E-MAIL: FADWHA@SUN.AC.ZA



ESTRELITA THOMAS

**SENIOR ADMINISTRATIVE
OFFICER: RESEARCH
DEVELOPMENT**

TEL: 021 808 9184

E-MAIL: ESSIE@SUN.AC.ZA



ROGER GROENEWALD

**ADMINISTRATIVE ASSISTANT:
RESEARCH DEVELOPMENT
& RECEPTION**

TEL: 021 808 9972

E-MAIL: RGGROENEWALD@SUN.AC.ZA

INTERNATIONAL & EARLY CAREER RESEARCH

GRANTS



RIANA COETSEE

**MANAGER: INTERNATIONAL &
EARLY CAREER RESEARCH GRANTS**
TEL: 021 808 2580
E-MAIL: MJC@SUN.AC.ZA



LIZEL KLEINGBIEL

**COORDINATOR: EU & OTHER
INTERNATIONAL RESEARCH FUNDING
OPPORTUNITIES**
TEL: 021 808 2105
E-MAIL: LIZELK@SUN.AC.ZA



NATASHA MTHAPO

**HEAD: POSTDOCTORAL
RESEARCH SUPPORT**
TEL: 021 808 3907
E-MAIL: MOTHAPO@SUN.AC.ZA



LEE-ANNE SEYMOUR

**COORDINATOR: NATIONAL
POSTDOCTORAL FELLOWS**
TEL: 021 808 2909
E-MAIL: LEEANNE@SUN.AC.ZA



IZEL ROSSOUW

**COORDINATOR:
INTERNATIONAL
POSTDOCTORAL FELLOWS &
RESEARCH GRANTS**
TEL: 021 808 4985
E-MAIL: IZEL@SUN.AC.ZA



DOMINIQUE MEYER

**ADMINISTRATIVE ASSISTANT:
TRAVEL, TRAINING AND
CONFERENCES**
TEL: 021 808 9566
E-MAIL:
DOMINIQUEM@SUN.AC.ZA

RESEARCH INFORMATION & STRATEGY



MARYKE HUNTER-HÜSSELMANN

**DIRECTOR:
RESEARCH INFORMATION
& STRATEGY
TEL: 021 808 4623
E-MAIL: MH3@SUN.AC.ZA**



AASIMA GAFFOOR

**COORDINATOR: RESEARCH
COMMUNICATION &
NRF RATING
TEL: 021 808 9004
E-MAIL: AASIMA@SUN.AC.ZA**



WHITNEY PRINS

**ADMIN ASSISTANT: RESEARCH
COMMUNICATION
TEL: 021 808 9971
E-MAIL: WHITNEY@SUN.AC.ZA**



DALÉNE PIETERSE

**MANAGER: RESEARCH
INFORMATION
TEL: 021 808 3557
E-MAIL: MVER@SUN.AC.ZA**



FELICIA MC DONALD

**SENIOR ADMIN OFFICER:
RESEARCH INFORMATION
TEL: 021 808 2581
E-MAIL:
FMCDONALD@SUN.AC.ZA**



ESMARI HUYSAMEN

**ADMIN OFFICER:
RESEARCH INFORMATION
TEL: 021 808 4914
E-MAIL: ESMARIH@SUN.AC.ZA**



JENNIFER DE BEER

FUNCTIONAL SYSTEMS CUSTODIAN

E-MAIL: JAD@SUN.AC.ZA

TEL: 021 808 9444

RESEARCH CONTRACTS



CORNELIA MALHERBE

**DIRECTOR:
RESEARCH CONTRACTS
TEL: 021 808 3546
E-MAIL: CVDM2@SUN.AC.ZA**



OLLOF ESTERHUIZEN

**LEGAL ADVISOR
TEL: 021 808 9488
E-MAIL: OLLOF@SUN.AC.ZA**



MARK MULDER

**SENIOR LEGAL ADVISOR
TEL: 021 808 2187
E-MAIL: MMULDER@SUN.AC.ZA**



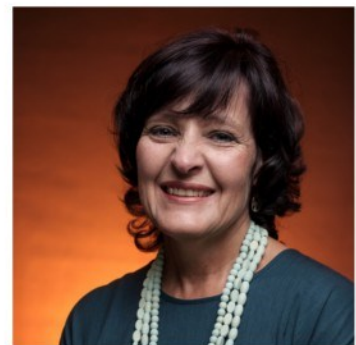
SHEHAAM ABDURAHMAN

**ASSISTANT LEGAL ADVISOR
TEL: 021 808 9538
E-MAIL: SHEHAAM@SUN.AC.ZA**



LANDIWE DAKA

**PROJECT ACCOUNTANT
TEL: 021 808 9963
E-MAIL: LANDIWE@SUN.AC.ZA**



SUZETTE ELS

**PROJECT ACCOUNTANT
TEL: 021 808 9963
E-MAIL: SELS@SUN.AC.ZA**



AUDREY ERASMUS

FULL COST ACCOUNTANT

TEL: 021 808 9018

E-MAIL:

AUDREYERASUMUS@SUN.AC.ZA



CHANTAL HUGO

FULL COST ACCOUNTANT

TEL: 021 808 9368

E-MAIL: CHANTALHUGO@SUN.AC.ZA



MARILYN SIKADE

COORDINATOR:

RESEARCH CONTRACTS

TEL: 021 808 9349

E-MAIL: MSIKADE@SUN.AC.ZA



ASLAM ARNOLDS

ADMIN OFFICER:

RESEARCH CONTRACTS

TEL: 021 808 9358

E-MAIL: ASLAM@SUN.AC.ZA



CORLIA RICHARDSON

ADMIN ASSISTANT:

DATA MANAGEMENT

TEL: 021 808 9182

E-MAIL: CORLIAR@SUN.AC.ZA

NASEEMA SONDAY

ASSISTANT LEGAL ADVI-

TEL: 021 808 2187

E-MAIL: NASEEMA@SUN.AC.ZA

RESEARCH INTEGRITY & NATIONAL GRANTS



MALENE FOUCHE

**DIRECTOR:
RESEARCH INTEGRITY &
NATIONAL GRANTS
TEL: 021 808 4622
E-MAIL: MFOUCHE@SUN.AC.ZA**



NICOLA BARSDORF

**RESEARCH INTEGRITY OFFICER
TEL: 021 808 2670
E-MAIL:
NBARSdorf@SUN.AC.ZA**



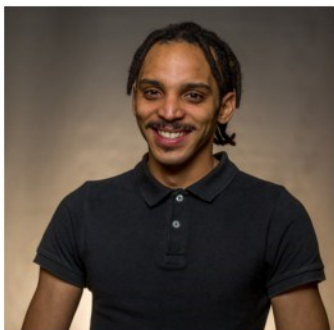
WINSTON BEUKES

**ANIMAL ETHICS, BIOSAFETY &
ENVIRONMENTAL ETHICS
TEL: 021 808 9003
E-MAIL:
WABEUKES@SUN.AC.ZA**



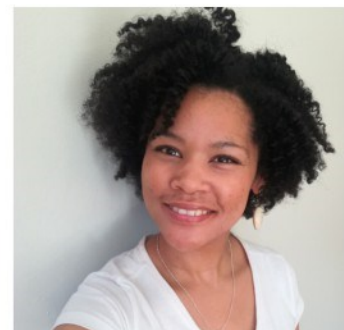
CLARISSA GRAHAM

**HUMAN ETHICS (HUMANITIES)
TEL: 021 808 9183
E-MAIL: CGRAHAM@SUN.AC.ZA**



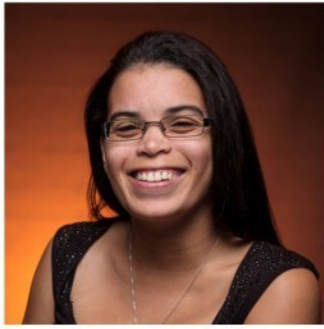
ADEN WILLIAMS

**RESEARCH ETHICS HELPDESK
TEL: 021 808 9185
E-MAIL: ADEN@SUN.AC.ZA**



VENITA JANUARIE

**COORDINATOR: NATIONAL
GRANTS
TEL: 021 808 9017
E-MAIL: VENITA@SUN.AC.ZA**



SHANNON FARO

**ADMIN OFFICER: RESEARCH
COMMITTEES & NATIONAL GRANTS
TEL: 021 808 4480
E-MAIL: SBROOKS@SUN.AC.ZA**



ELIZE DU PLESSIS

**FINANCIAL OFFICER:
DST/NRF RESEARCH CHAIRS
E-MAIL:
ELIZEDUPLESSIS@SUN.AC.ZA**

POSTGRADUATE OFFICE



DOROTHY STEVENS

**DIRECTOR:
POSTGRADUATE OFFICE
TEL: 021 808 2932
E-MAIL: DSTEVENS@SUN.AC.ZA**



LAUREN FEBRUARY

**PGO: FINANCE & ENROLMENT
TEL: 021 808 9436
E-MAIL:
LAURENVW@SUN.AC.ZA**



CINDI DE DONCKER

**SENIOR ADVISOR &
COORDINATOR:
POSTGRADUATE
ENROLMENT SUPPORT
TEL: 021 808 2566
E-MAIL: CNM@SUN.AC.ZA**



JOSEPHINE DZAMA

**POSTGRADUATE
ENROLMENT SUPPORT
TEL: 021 808 9156
E-MAIL: JDZAMA@SUN.AC.ZA**



ALISON BUCHOLZ

**HEAD: POSTGRADUATE
SKILLS DEVELOPMENT
TEL: 021 808 4186
E-MAIL: ALISONB@SUN.AC.ZA**



CRISTAN MACLEOD

**POSTGRADUATE SKILLS
DEVELOPMENT
TEL: 021 808 3727
E-MAIL: CRISTANM@SUN.AC.ZA**



NUGENT LEWIS

**DEPUTY DIRECTOR:
POSTGRADUATE OFFICE &
HEAD: POSTGRADUATE FUNDING
TEL: 021 808 2957
E-MAIL: NUGENT@SUN.AC.ZA**



ROZELLE PETERSEN

**POSTGRADUATE FUNDING: NATIONAL
RESEARCH FOUNDATION (NRF)
TEL: 021 808 2907
E-MAIL:
RNP@SUN.AC.ZA**



RHODENE AMOS

**POSTGRADUATE FUNDING:
PRESTIGE & PRIVATE BURSARIES
TEL: 021 808 2908
E-MAIL: RAMOS@SUN.AC.ZA**



JONGISIZWE NDLEBE

**ADMINISTRATIVE OFFICER:
POSTGRADUATE STUDENT FUNDING
TEL: 021 808 4208
E-MAIL: NDLEBE@SUN.AC.ZA**

ANO|DRD

AFDELING / DIVISION
NAVORSINGSONTWIKKELING
RESEARCH DEVELOPMENT

**This document was prepared by the
*DIVISION FOR RESEARCH DEVELOPMENT (DRD).***

**For more information contact
Research Information and Strategy cluster (DRD)**

Email: mh3@sun.ac.za